

Electronic Acknowledgement Receipt

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|---------------------------------------------|----------------------------------------------------------------------------------------------------------|
| EFS ID: | 3042866 |
| Application Number: | 09848931 |
| International Application Number: | |
| Confirmation Number: | 7100 |
| Title of Invention: | Method and system for initiating and clearing trades |
| First Named Inventor/Applicant Name: | Robert J. Feilbogen |
| Correspondence Address: | Proskauer Rose LLP Patent Department 1585 Broadway - New York NY 10036 US 2129693000 - |
| Filer: | Joseph Anthony Capraro Jr./Erin Duff |
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| Application Type: | Utility under 35 USC 111(a) |

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| Submitted with Payment | yes |
| Payment Type | Deposit Account |
| Payment was successfully received in RAM | \$1270 |

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| RAM confirmation Number | 1781 | | | | |
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File Listing:

| Document Number | Document Description | File Name | File Size(Bytes) /Message Digest | Multi Part /.zip | Pages (if appl.) |
|------------------------------------------------------------|--------------------------------------------------|-------------------------------|-----------------------------------------------------|------------------|------------------|
| 1 | | AIG_001_RCE_and_Amendment.pdf | 938171 9fe478b5b765760bc912504cd3396c05b38607116 | yes | 21 |
| Multipart Description/PDF files in .zip description | | | | | |
| | Document Description | Start | End | | |
| | Miscellaneous Incoming Letter | 1 | 1 | | |
| | Extension of Time | 2 | 2 | | |
| | Request for Continued Examination (RCE) | 3 | 3 | | |
| | Amendment After Final | 4 | 4 | | |
| | Claims | 5 | 17 | | |
| | Applicant Arguments/Remarks Made in an Amendment | 18 | 21 | | |
| Warnings: | | | | | |
| Information: | | | | | |
| 2 | Fee Worksheet (PTO-06) | fee-info.pdf | 8320 a2b10c77f5d20a5ac490a2493aa562bd965223d3 | no | 2 |
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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